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Digital Technology and Disease Surveillance in the COVID-19 Pandemic: A Scoping Review Protocol

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Digital Technology and Disease Surveillance in the COVID-19 Pandemic: A Scoping Review Protocol

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ABSTRACT

Introduction: Infectious diseases pose a risk to public health, requiring efficient strategies for disease prevention. Digital health surveillance technologies provide new opportunities to enhance disease prevention, detection, tracking, reporting, and analysis. However, in addition to concerns regarding the effectiveness of these technologies in meeting public health goals, there are also concerns regarding the ethics, legality, safety, and sustainability of digital surveillance technologies. This scoping review examines the literature on digital surveillance for public health purposes during the COVID-19 pandemic to identify health-related applications of digital surveillance technologies, and to highlight discussions of the implications of these technologies.

Methods and analysis: The scoping review will be guided by the framework proposed by Arksey and O'Malley (2005) and the guidelines outlined by Colquhoun et al. (2014) and Levac at al. (2010). We will search Medline (OVID), PsycInfo, PubMed, Scopus, CINAHL (EBSCOHost), ACM Digital Library, and IEEE Explore for relevant studies published between December 2019 to December 2020. The review will also include grey literature. Data will be managed and analyzed through an extraction table and thematic analysis.

Ethics and dissemination: Findings will be disseminated through traditional academic channels, as well as social media channels and research briefs and infographics. We will target our dissemination to provincial and federal public health organizations, as well as technology companies and community-based organizations managing the public response to the COVID-19 pandemic.

ARTICLE SUMMARY

Strengths and limitations of this study

- This scoping review will highlight existing evidence of digital surveillance strategies for disease mitigation used during the COVID-19 pandemic and identify any gaps in the literature related to technology type, design, and implementation of digital surveillance strategies, and implications related to data ownership, privacy, and the sustainability of these initiatives.

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- Our focus on the global context will allow us to compare uses of digital health surveillance technology across regions and nations.

- Our search of the literature draws on a wide variety of databases and employs a broad understanding of digital health surveillance technology.

- Our focus is on digital health surveillance technology used during the COVID-19 pandemic which may limit our ability to investigate forms of digital surveillance used during previous pandemics, epidemics, and outbreaks.

- Including only publications written in English will exclude discussions and analyses of digital health surveillance technology in other languages, which may limit our capacity to take a global approach.

INTRODUCTION

The COVID-19 pandemic constitutes an unparalleled global crisis impacting all matters that determine health (e.g., environment, economy, health services) and has been described as the first pandemic of 'the algorithmic age' where advanced data analytics are contributing to sophisticated detection, treatment, and prevention strategies [1]. Bennet et al. (2014) describe surveillance practices as central to all organizations and sectors, and encourage attentiveness to misuse of data collected for another purpose: function or mission creep [2]. Defined as "the focused, systematic, and routine attention to personal details for purposes of influence, management, protection or direction" [3], surveillance constitutes a long-standing practice within public health. There has been a surge in digital surveillance technology development by academics,

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private-sector companies, and 'citizen scientists' to support public health practices (e.g., contact tracing, physical distancing) [1, 4].

The use of existing digital surveillance technologies has also been leveraged and redirected to support pandemic management [5]. To date, the use of technology to mitigate the spread of COVID-19 within and across countries has achieved varying levels of success, dependent on indicators of success (e.g., disease containment (testing, vaccinations), mortality, educational/school attendance, employment rate, real gross domestic product (RGDP)), which vary geographically. Globally, governments are considering, or are currently using, digital surveillance technologies (e.g., cell phone geolocation, closed-circuit cameras, apps) and mass public data collection (e.g., wastewater surveillance) to detect and mitigate the spread of the COVID-19 virus, and to ensure compliance with public health measures [6].

There have also been concerns about (mis)uses of digital technology measures during pandemic and non-pandemic situations. Many have voiced concerns regarding the short- and long-term potential of these technologies, including undermining human rights [7], threatening our fundamental values [8, 9] inequitable targeting of oppressed and racialized communities [10], biases embedded in coding leading to discriminatory practices [11, 12, 13], inequitable power structures [14], and engendering a false sense of security [15]. Researchers, human rights advocates, and knowledge leaders in digital technology are insistent that governments and health care decision-makers balance technological innovation as a pandemic response with transparency, diligence, and attentiveness to issues of data standards, ethics, equity, and human rights to effectively address the short-term and long-term implications on health and issues that determine health [16]. Patel (2020), for instance, argues that "while data can save lives at times of global public health crisis…it can only do this effectively if its use, management and governance, even

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at times of crisis, is underpinned by clear rules (grounded in law, ethics and human rights) about how best to use data; and trust in institutions to use data well" [17].

However, the urgency to control the spread of COVID-19 has effectively limited opportunities to thoroughly consider the intended (disease containment) and unintended (e.g., violation of ethical practices and human rights standards) consequences [17]. Digital surveillance technologies that bear upon determinants of health require regulatory oversight that account for transparency, diversity, networks of control, influence, and the potential for the exploitation of citizen data by public and private organizations [18, 19].

This scoping review aims to investigate the peer-reviewed and grey literature on the use of digital surveillance technologies for public health mitigation purposes during the COVID-19 pandemic and within the global context. The objectives of the scoping review are as follows:

- To review the breadth and depth of the academic and grey literature on digital health surveillance technologies and their use during the COVID-19 pandemic.

- To explore how the literature has taken up and addressed the short- and long-term implications of digital surveillance technology on diverse populations, particularly those who are marginalized or facing existing inequities.

- To identify gaps in the peer-reviewed and grey literature.

METHODS AND ANALYSIS

We will conduct a scoping review with guidance from Arksey and O'Malley (2005), Colquhoun et al. (2014), Levac et al. (2010), and the Joanna Briggs Institute (JBI) guidelines [20-23]. A scoping review was determined to be the most appropriate means of addressing our research objectives, as our intent is to explore what is known about digital surveillance technologies for

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public health purposes and to investigate the state of the literature. To this end, we look to utilize a scoping strategy to map relevant literature in the field of interest [20]. Our aim is to convey the breadth and depth of the peer-reviewed and grey literature on this topic [21]. We will also trace these various forms of investigation and discussions to identify any gaps that might exist.

This scoping review will follow the methodological framework described by Arksey and O'Malley (2005), which comprises five stages: (1) identifying the research question, (2) identifying relevant studies, (3) study selection, (4) charting the data, (5) collating, summarizing, and reporting the results [20]. In writing this scoping review protocol, we also drew on the PRISMA-Preporting guidelines [24].

Stage 1: Identifying the research question

Our scoping review will be guided by the following research question: What is known about digital health surveillance technologies targeted at citizen surveillance during the COVID-19 pandemic within the global context? In addition to this research question, we also seek to answer the following sub-questions: (1) What are the health-related applications of digital surveillance technology strategies? (2) What are the existing and/or predicted short- and long-term implications of digital surveillance technology on diverse cultural, criminalized, Indigenous, disabled, and otherwise marginalized populations?

Stage 2: Identifying relevant literature

Our interdisciplinary team of researchers informed the adoption of an expansive definition of digital health surveillance technologies that includes any use of technology with the goal of

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making someone, or something, visible for public health purposes. We developed our search strategy through ongoing consultations with a specialist subject librarian, who assisted in developing the search strategy and identifying relevant databases. The search strategy will include pertinent and comprehensive search terms that represent the primary concepts of this scoping review's objectives. These consist of keywords and MeSH terms, as well as combinations of these terms using Boolean operators (Textbox 1). The search strategy and keywords will be adjusted for each database.

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1. Population Surveillance/ or Public Health Surveillance/ or surveillance.tw.
2. digital surveillance.tw.
3. biosurveillance.tw. or Biosurveillance/
4. epidemiological monitoring.tw. or Epidemiological monitoring/
5. 1 or 2 or 3 or 4
6. pandemic.tw. or Pandemics/
7. disease outbreak.tw. or Disease Outbreaks/
8. Coronavirus Infections/ or covid-19.tw.
9. covid19.tw.
10. H1N1.tw.
11. SARS.tw. or SARS Virus/
12. 6 or 7 or 8 or 9 or 10 or 11
13. Public Health/ or public health application.mp.
14. 5 and 12

Textbox 1: Search strategy and search terms developed in consultation with the research librarian.

An electronic search will be conducted using the following databases: Medline (Ovid), PsycInfo (Ovid), PubMed, Scopus, CINAHL, ACM Digital Library, and IEEE Explore. The databases were chosen with the intention of including perspectives from health, public health, engineering, computer science, data ethics, and other specialist fields on the use of technology for health surveillance purposes. We will also hand search key journals and the reference lists of

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relevant articles for additional publications that may have been missed from the database searches. All references will be exported to a reference manager software to organize references and remove duplicates.

Grey literature from organizations with relevance to the focus of our research (e.g., digital health, surveillance, data/human rights, ethics, equity, privacy) will be included. We will compile a list of relevant organizational websites based on suggestions from experts on our team. Using a combination of website, Google, and grey literature database searches, we will also include conference proceedings, abstracts, presentations, government publications, and dissertations and theses of relevance. The search terms used to search the academic literature will also be used to identify relevant documents from organizational websites that meet the review's inclusion criteria. Links to potentially relevant publications will be extracted to a spreadsheet for further screening by two researchers.

Stage 3: Literature selection

Inclusion criteria*:* We began with a broad search of the literature to capture all publications on the use of digital health surveillance technology during pandemics, epidemics, and outbreaks published between January 2000 to December 2020 to capture data related to the first and second waves of the Covid 19 pandemic. From these 9630 articles, we retrieved those published from December 1 2019 to December 31 2020, and we will further refine our inclusion criteria such that articles are only included if the terms "coronavirus," "COVID19," "SARS-CoV-2," or "severe acute respiratory syndrome coronavirus 2" are present in the title or the abstract.

Given limitations in time and resources, we will only be including articles written in English. We will include articles that focus on the use of digital health surveillance technologies—as defined above—for the purposes of monitoring, mitigating, or otherwise responding to the COVID-19 pandemic.

Exclusion criteria: In addition to excluding publications that do not meet the above inclusion criteria, we will exclude any articles that focus solely on digital surveillance of non-human animal health without explicit links to, or implications for, human health. We will also exclude articles that do not discuss the use of digital surveillance technology within the context of a public health response to the COVID-19 pandemic.

Title and abstract screening will be conducted by two researchers. Included articles will be imported into Mendeley for full-article screening by five researchers. Any discrepancies will be discussed among the researchers until a consensus is reached.

Stage 4: Charting the data

After searching the databases, all identified citations will be uploaded to Mendeley 1.19.4/2019 (Elsevier) and duplicates removed. Titles and abstracts of all articles will be screened by two independent reviewers to determine if they meet the study's inclusion criteria. Potentially relevant articles will be reviewed in full against the inclusion criteria by two independent reviewers. Disagreements between the two reviewers at any stage will be resolved through mutual discussion or, where necessary, consultation with a third reviewer. The results and study inclusion process will be presented on a Preferred Reporting Items for Systematic Reviews and Meta-Analyses extension for scoping reviews flow chart (PRISMA-ScR) [24] (Figure 1).

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We will use a targeted ruleset to extract twelve relevant pieces of data from the included articles. This data extraction table will be developed in accordance with the objectives of our scoping review, as well as domain-specific expertise from members of our research team to ensure that we identify all relevant information. The data extracted from all relevant documents will include the following: (1) author(s), (2) year of publication, (3) type of document, (4) aim or study purpose, (5) methodology, (6) countries or regions studied, (7) type(s) of digital surveillance technology studied, (8) how the technology under study is used for disease surveillance, (9) target population(s), (10) key findings, (11) outcomes, and (12) implications of technology use (e.g., ethical, political, etc.). Five researchers will pilot the data extraction table on five articles and then discuss the findings to determine whether adjustments need to be made.

Stage 5: Collating, summarizing, and reporting the results

In line with our objective of mapping the breadth and depth of the literature, we will conduct a thematic analysis of the data extracted from the articles with the goal of identifying what kinds of studies of digital health surveillance technologies have been conducted; which technologies, countries, and surveillance implications have been studied; what debates, discussions, and tensions have emerged within the literature; and, where applicable, what gaps exist in the literature. The analysis will be undertaken as a collective effort among our team of researchers to ensure an interdisciplinary analysis from multiple expert perspectives.

PATIENT AND PUBLIC INVOLVEMENT

Patients and the public were not involved in this research in any way.

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DISCUSSION

The aim of this scoping review is to explore the literature on digital health surveillance technology, with the goal of mapping the research that has been done in this area, understanding the implications of use, and highlighting any gaps. As digital health surveillance technologies are leveraged by countries around the world in an attempt to manage the COVID-19 pandemic, there is an urgent need to understand the potential short- and long-term implications of technology use. We anticipate that the results of this scoping review will support informed decision making around digital surveillance use and provide important insight into the existing knowledge of digital health surveillance technologies and the use of these forms of surveillance in monitoring and mitigating pandemics.

ETHICS AND DISSEMINATION

The findings of our scoping review will be disseminated through traditional academic channels, including peer-reviewed publications and conference presentations. We will also engage targeted public organizations through social media channels and accessible research briefs and infographics, developed with our interdisciplinary team of researchers. We will target our dissemination to global public health organizations. We will also target technology industry companies, and community-based organizations dealing with the public response to the COVID-19 pandemic. Dissemination of our findings is intended to generate a shared understanding of the concept of digital surveillance, and to facilitate reflection and discussion on the benefits and challenges of pandemic surveillance strategies.

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DATA MANAGEMENT AND OVERSIGHT

Two members of the research team will analyze the initial results and screen them for inclusion criteria. A third researcher will review this screening process. A team of five researchers will extract and analyze the data.

DATA STORAGE AND SECURITY

The database for the scoping review can be accessed by contacting the corresponding author.

AUTHOR CONTRIBUTIONS

LC and MN contributed to the acquisition, analysis, and interpretation of data for the work, as well as drafting and contributing to revising the work for intellectual content. LD, JH, BH, JJS, MJS, JG, SS, AK, JB, TC, JL, JMS, HB, MD, and DB contributed to the design of the study, interpretation of the data, and revising drafts for interdisciplinary intellectual content. MS contributed to developing the search strategy.

FUNDING

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COMPETING INTERESTS

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None declared.

FIGURE LEGENDS

Figure 1: PRISMA chart detailing the study selection process

Textbox 2: Search strategy and search terms developed in consultation with the research librarian.
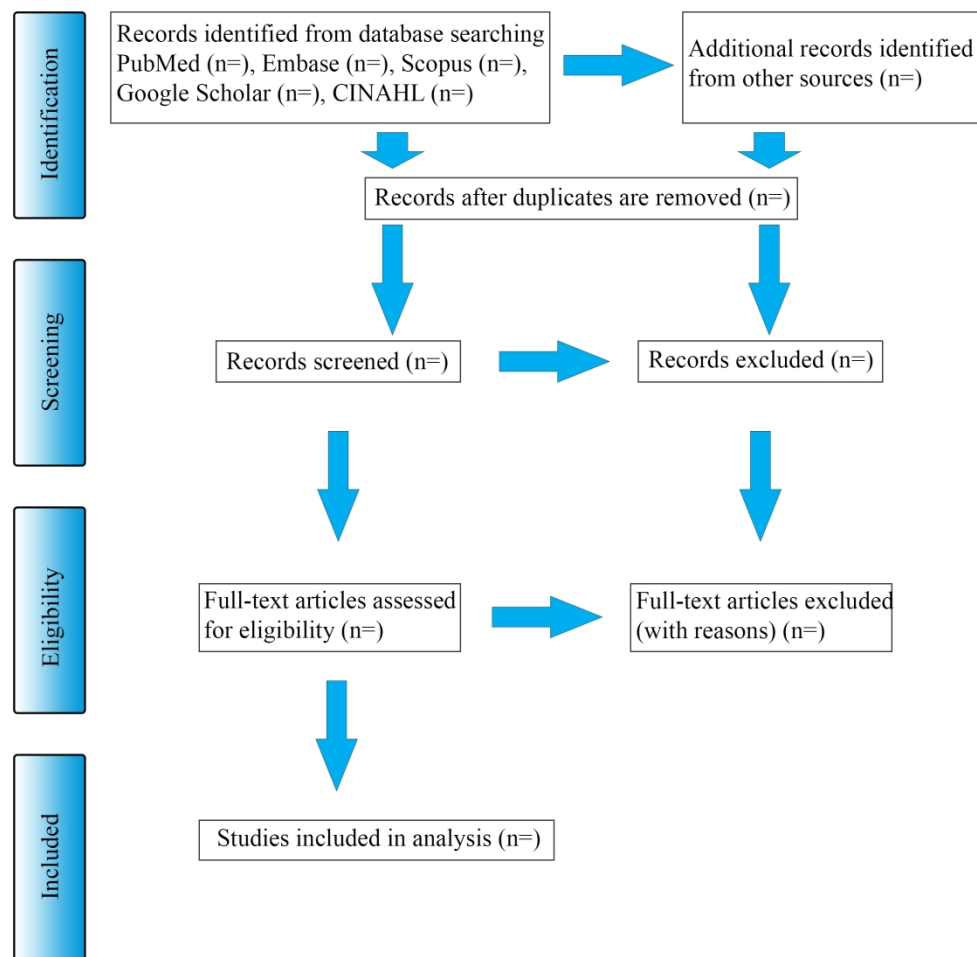
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Figure 1: PRISMA chart detailing the study selection process

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Reporting checklist for protocol of a systematic review and meta analysis.

Based on the PRISMA-P guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the PRISMA-Preporting guidelines, and cite them as:

Moher D, Shamseer L, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart LA. Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) 2015 statement. Syst Rev. 2015;4(1):1.

		Reporting Item	Page Number
Title			
Identification	#1a	Identify the report as a protocol of a systematic review	1
Update	#1b	If the protocol is for an update of a previous systematic review, identify as such	N/A
Registration			
	#2	If registered, provide the name of the registry (such as PROSPERO) and registration number	N/A
Authors			
Contact	#3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author	1
Contribution	#3b	Describe contributions of protocol authors and identify the	1

guarantor of the review

Amendments

| | #4 | If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments | N/A |

Support

Sources	#5a	Indicate sources of financial or other support for the review	10
Sponsor	#5b	Provide name for the review funder and / or sponsor	10
Role of sponsor or funder	#5c	Describe roles of funder(s), sponsor(s), and / or institution(s), if any, in developing the protocol	10

Introduction

| Rationale | #6 | Describe the rationale for the review in the context of what is already known | 3-4 |
| Objectives | #7 | Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO) | 5 |

Methods

Eligibility criteria	#8	Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review	7-8
Information sources	#9	Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage	7
Search strategy	#10	Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated	6-7
Study records - data management	#11a	Describe the mechanism(s) that will be used to manage records and data throughout the review	8
Study records -	#11b	State the process that will be used for selecting studies (such	8

selection process		as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis)	
Study records - data collection process	#11c	Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators	7-9
Data items	#12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications	9
Outcomes and prioritization	#13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale	10
Risk of bias in individual studies	#14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis	7-9
Data synthesis	#15a	Describe criteria under which study data will be quantitatively synthesised	N/A
Data synthesis	#15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I2, Kendall's τ)	N/A
Data synthesis	#15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression)	N/A
Data synthesis	#15d	If quantitative synthesis is not appropriate, describe the type of summary planned	9
Meta-bias(es)	#16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies)	N/A
Confidence in cumulative evidence	#17	Describe how the strength of the body of evidence will be assessed (such as GRADE)	N/A

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Digital Technology and Disease Surveillance in the COVID-19 Pandemic: A Scoping Review Protocol

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Digital Technology and Disease Surveillance in the COVID-19 Pandemic: A Scoping Review Protocol

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ABSTRACT

Introduction: Infectious diseases pose a risk to public health, requiring efficient strategies for disease prevention. Digital health surveillance technologies provide new opportunities to enhance disease prevention, detection, tracking, reporting, and analysis. However, in addition to concerns regarding the effectiveness of these technologies in meeting public health goals, there are also concerns regarding the ethics, legality, safety, and sustainability of digital surveillance technologies. This scoping review examines the literature on digital surveillance for public health purposes during the COVID-19 pandemic to identify health-related applications of digital surveillance technologies, and to highlight discussions of the implications of these technologies.

Methods and analysis: The scoping review will be guided by the framework proposed by Arksey and O'Malley (2005) and the guidelines outlined by Colquhoun et al. (2014) and Levac at al. (2010). We will search Medline (OVID), PsycInfo, PubMed, Scopus, CINAHL (EBSCOHost), ACM Digital Library, Google Scholar, and IEEE Explore for relevant studies published between December 2019 to December 2020. The review will also include grey literature. Data will be managed and analyzed through an extraction table and thematic analysis.

Ethics and dissemination: Findings will be disseminated through traditional academic channels, as well as social media channels and research briefs and infographics. We will target our dissemination to provincial and federal public health organizations, as well as technology companies and community-based organizations managing the public response to the COVID-19 pandemic.

ARTICLE SUMMARY

Strengths and limitations of this study

- This scoping review will highlight existing evidence of digital surveillance strategies for disease mitigation used during the COVID-19 pandemic and identify any gaps in the literature related to technology type, design, and implementation of digital surveillance strategies, and implications related to data ownership, privacy, and the sustainability of these initiatives.

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- Our focus on the global context will allow us to compare uses of digital health surveillance technology across regions and nations.

- Our search of the literature draws on a wide variety of databases and employs a broad understanding of digital health surveillance technology.

- Our focus is on digital health surveillance technology used during the COVID-19 pandemic, which may limit our ability to investigate forms of digital surveillance used during previous pandemics, epidemics, and outbreaks. While we initially intended to review publications from 2000 to 2020 to trace the use of digital health surveillance technologies over time and during different outbreaks, our literature search yielded an unmanageable number of results that we could not review with our available time and resources. As such, this review has been limited to surveillance technologies used during the COVID-19 pandemic. While this limit in scope will exclude the opportunity to analyze developments in the use of digital health surveillance technology over time, our team of researchers found that limiting the scope to the COVID-19 pandemic was the most effective means of retaining a manageable number of publications for review while also answering our modified research questions.

- Including only publications written in English will exclude discussions and analyses of digital health surveillance technology in other languages, which may limit our capacity to take a global approach.

INTRODUCTION

The COVID-19 pandemic constitutes an unparalleled global crisis impacting all matters that determine health (e.g., environment, economy, health services) and has been described as the

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first pandemic of 'the algorithmic age' where advanced data analytics are contributing to sophisticated detection, treatment, and prevention strategies [1]. Bennet et al. (2014) describe surveillance practices as central to all organizations and sectors, and encourage attentiveness to misuse of data collected for another purpose: function or mission creep [2]. Defined as "the focused, systematic, and routine attention to personal details for purposes of influence, management, protection or direction" [3], surveillance constitutes a long-standing practice within public health. There has been a surge in digital surveillance technology development by academics, private-sector companies, and 'citizen scientists' to support public health practices (e.g., contact tracing, physical distancing) [1, 4].

The use of existing digital surveillance technologies has also been leveraged and redirected to support pandemic management [5]. To date, the use of technology to mitigate the spread of COVID-19 within and across countries has achieved varying levels of success, dependent on indicators of success (e.g., disease containment (testing, vaccinations), mortality, educational/school attendance, employment rate, real gross domestic product (RGDP)), which vary geographically. Globally, governments are considering, or are currently using, digital surveillance technologies (e.g., cell phone geolocation, closed-circuit cameras, apps) and mass public data collection (e.g., wastewater surveillance) to detect and mitigate the spread of the COVID-19 virus, and to ensure compliance with public health measures [6].

There have also been concerns about (mis)uses of digital technology measures during pandemic and non-pandemic situations. Many have voiced concerns regarding the short- and long-term potential of these technologies, including undermining human rights [7], threatening our fundamental values [8, 9] inequitable targeting of oppressed and racialized communities [10], biases embedded in coding leading to discriminatory practices [11, 12, 13], inequitable power

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structures [14], and engendering a false sense of security [15]. Researchers, human rights advocates, and knowledge leaders in digital technology are insistent that governments and health care decision-makers balance technological innovation as a pandemic response with transparency, diligence, and attentiveness to issues of data standards, ethics, equity, and human rights to effectively address the short-term and long-term implications on health and issues that determine health [16]. Patel (2020), for instance, argues that "while data can save lives at times of global public health crisis…it can only do this effectively if its use, management and governance, even at times of crisis, is underpinned by clear rules (grounded in law, ethics and human rights) about how best to use data; and trust in institutions to use data well" [17].

However, the urgency to control the spread of COVID-19 has effectively limited opportunities to thoroughly consider the intended (disease containment) and unintended (e.g., violation of ethical practices and human rights standards) consequences [17]. Digital surveillance technologies that bear upon determinants of health require regulatory oversight that account for transparency, diversity, networks of control, influence, and the potential for the exploitation of citizen data by public and private organizations [18, 19].

This scoping review aims to investigate the peer-reviewed and grey literature on the use of digital surveillance technologies for public health mitigation purposes during the COVID-19 pandemic and within the global context. The objectives of the scoping review are as follows:

- To review the breadth and depth of the academic and grey literature on digital health surveillance technologies and their use during the COVID-19 pandemic.
- To explore how the literature has taken up and addressed the short- and long-term implications of digital surveillance technology on diverse populations, particularly those who are marginalized or facing existing inequities.

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- To identify gaps in the peer-reviewed and grey literature.

METHODS AND ANALYSIS

We will conduct a scoping review with guidance from Arksey and O'Malley (2005), Colquhoun et al. (2014), Levac et al. (2010), and the Joanna Briggs Institute (JBI) guidelines [20-23]. A scoping review was determined to be the most appropriate means of addressing our research objectives, as our intent is to explore what is known about digital surveillance technologies for public health purposes and to investigate the state of the literature. To this end, we look to utilize a scoping strategy to map relevant literature in the field of interest [20]. Our aim is to convey the breadth and depth of the peer-reviewed and grey literature on this topic [21]. We will also trace these various forms of investigation and discussions to identify any gaps that might exist.

This scoping review will follow the methodological framework described by Arksey and O'Malley (2005), which comprises five stages: (1) identifying the research question, (2) identifying relevant studies, (3) study selection, (4) charting the data, (5) collating, summarizing, and reporting the results [20]. In writing this scoping review protocol, we also drew on the PRISMA-Preporting guidelines [24].

Stage 1: Identifying the research question

Our scoping review will be guided by the following research question: What is known about digital health surveillance technologies targeted at citizen surveillance during the COVID-19 pandemic within the global context? In addition to this research question, we also seek to answer the following sub-questions: (1) What are the health-related applications of digital

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surveillance technology strategies? (2) What are the existing and/or predicted short- and long-term implications of digital surveillance technology on diverse cultural, criminalized, Indigenous, disabled, and otherwise marginalized populations?

Stage 2: Identifying relevant literature

Our interdisciplinary team of researchers informed the adoption of an expansive definition of digital health surveillance technologies that includes any use of technology with the goal of *making someone, or something, visible* for public health purposes. We developed our search strategy through ongoing consultations with a specialist subject librarian, who assisted in developing the search strategy and identifying relevant databases. The search strategy will include pertinent and comprehensive search terms that represent the primary concepts of this scoping review's objectives. These consist of keywords and MeSH terms, as well as combinations of these terms using Boolean operators (Textbox 1). The search strategy and keywords will be adjusted for each database (see supplementary file).

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1. Population Surveillance/ or Public Health Surveillance/ or surveillance.tw.
2. digital surveillance.tw.
3. biosurveillance.tw. or Biosurveillance/
4. epidemiological monitoring.tw. or Epidemiological monitoring/
5. 1 or 2 or 3 or 4
6. pandemic.tw. or Pandemics/
7. disease outbreak.tw. or Disease Outbreaks/
8. Coronavirus Infections/ or covid-19.tw.
9. covid19.tw.
10. H1N1.tw.
11. SARS.tw. or SARS Virus/
12. 6 or 7 or 8 or 9 or 10 or 11
13. Public Health/ or public health application.mp.
14. 5 and 12

Textbox 1: Search strategy and search terms developed in consultation with the research librarian.

An electronic search will be conducted using the following databases: Medline (Ovid), PsycInfo (Ovid), PubMed, Scopus, CINAHL, ACM Digital Library, Google Scholar, and IEEE Explore. The databases were chosen with the intention of including perspectives from health, public health, engineering, computer science, data ethics, and other specialist fields on the use of technology for health surveillance purposes. We will also hand search key journals and the reference lists of relevant articles for additional publications that may have been missed from the database searches. All references will be exported to a reference manager software to organize references and remove duplicates.

Grey literature from organizations with relevance to the focus of our research (e.g., digital health, surveillance, data/human rights, ethics, equity, privacy) will be included. With the help of a research librarian, our team of interdisciplinary researchers selected relevant organizational websites that explore the use and applications of digital technology for surveillance purposes. We will conduct a search of these websites to retrieve potentially relevant grey literature. These sites include: The Canadian Agencies for Drugs and Technology in Health (CADTH), the Ada Lovelace Institute, the Center for International Governance Innovation, the Geneva Internet Platform, Munk Updates, Human Rights Watch, the International Civil Liberties Monitoring Group, the Surveillance Studies Centre (SSC) at Queen's University, the Information and Privacy Commissioner of Ontario (IPC), Privacy International, Amnesty International, the International Association of Privacy Professionals, PreventionWeb, the National Health Policy Forum, and the Mitre Corporation.

These websites will be searched through a manual search of current and archived content and, where applicable, through the use of the internal search tool on each website. We will use

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similar key terms to those being used to search the peer-reviewed literature. Any relevant literature published between December 2019 and December 2020 will be retained for further review. Links to potentially relevant publications will be extracted to a spreadsheet for further screening by two researchers.

Stage 3: Literature selection

Inclusion criteria**:** We began with a broad search of the literature to capture all publications on the use of digital health surveillance technology during pandemics, epidemics, and outbreaks published between January 2000 to December 2020. As we are interested in the global use of digital health surveillance technologies, we included publications written from, and about, all countries and regions. However, due to limitations in time and resources, we only included publications written in English. This search yielded 9630 results. From these results, we screened the abstracts based on the following inclusion criteria:

- The publication must include mention of the use of a digital technology for public health surveillance
- This public health surveillance must be oriented towards the containment or mitigation of the spread of an infectious disease
- Public health surveillance through digital technology must be focused on surveilling humans, not non-human animals.

After screening the abstracts, we retained 2076 publications for inclusion. Next, we read each publication to screen against the inclusion criteria listed above. Following this screening process, we retained 888 publications for review.

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Given the resources and time available to us, it was impractical to attempt a scoping review of over 800 publications. Our research team trialed several ways of further limiting our scope as a means of reducing this number. We experimented with limiting the scope by technology, by region, by methodology, and according to whether the technology was publicly or privately funded, but these exclusions either limited the scope in such a way that we could not answer our research questions or were ineffective at reducing the number of included publications to a manageable amount.

We next attempted to limit the scope to focusing solely on digital health surveillance technologies used during the COVID-19 pandemic. We refined our inclusion criteria to limit the publication timeframe from December 2019 to December 2020, and we excluded publications that did not have the terms "coronavirus," "COVID19," "SARS-CoV-2," or "severe acute respiratory syndrome coronavirus 2" in the title or abstract. These inclusion criteria reduced the number of retained publications to 172. After consultation with the research team, we agreed that this limited scope reduced the number of publications for review to a manageable amount, while also ensuring that we could answer our research questions if we modified them to focus solely on the COVID-19 pandemic.

Title and abstract screening were conducted by two researchers. Included articles were imported into Mendeley for full-article screening by five researchers. Any discrepancies were discussed among the researchers until a consensus was reached.

Stage 4: Charting the data

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After searching the databases, all identified citations were uploaded to Mendeley 1.19.4/2019 (Elsevier) and duplicates removed. Titles and abstracts of all articles were screened by two independent reviewers to determine if they met the study's inclusion criteria. Potentially relevant articles were reviewed in full against the inclusion criteria by two independent reviewers. Disagreements between the two reviewers at any stage was resolved through mutual discussion or, where necessary, consultation with a third reviewer. The results and study inclusion process will be presented on a Preferred Reporting Items for Systematic Reviews and Meta-Analyses extension for scoping reviews flow chart (PRISMA-ScR) [22] (Figure 1).

We will use a targeted ruleset to extract twelve relevant pieces of data from the included articles. This data extraction table will be developed in accordance with the objectives of our scoping review, as well as domain-specific expertise from members of our research team to ensure that we identify all relevant information. The data extracted from all relevant documents will include the following: (1) author(s), (2) year of publication, (3) type of document, (4) aim or study purpose, (5) methodology, (6) countries or regions studied, (7) type(s) of digital surveillance technology studied, (8) how the technology under study is used for disease surveillance, (9) target population(s), (10) key findings, (11) outcomes, and (12) implications of technology use (e.g., ethical, political, etc.). Five researchers will pilot the data extraction table on five articles and then discuss the findings to determine whether adjustments need to be made.

Stage 5: Collating, summarizing, and reporting the results

In line with our objective of mapping the breadth and depth of the literature, we will conduct a thematic analysis of the data extracted from the articles with the goal of identifying what

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kinds of studies of digital health surveillance technologies have been conducted; which technologies, countries, and surveillance implications have been studied; what debates, discussions, and tensions have emerged within the literature; and, where applicable, what gaps exist in the literature. The analysis will be undertaken as a collective effort among our team of researchers to ensure an interdisciplinary analysis from multiple expert perspectives.

PATIENT AND PUBLIC INVOLVEMENT

Patients and the public were not involved in this research in any way.

DISCUSSION

The aim of this scoping review is to explore the literature on digital health surveillance technology, with the goal of mapping the research that has been done in this area, understanding the implications of use, and highlighting any gaps. As digital health surveillance technologies are leveraged by countries around the world in an attempt to manage the COVID-19 pandemic, there is an urgent need to understand the potential short- and long-term implications of technology use. We anticipate that the results of this scoping review will support informed decision making around digital surveillance use and provide important insight into the existing knowledge of digital health surveillance technologies and the use of these forms of surveillance in monitoring and mitigating pandemics.

ETHICS AND DISSEMINATION

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Given we are reviewing secondary sources and not working with human subjects, our scoping review did not require ethics approval. The findings of our scoping review will be disseminated through traditional academic channels, including peer-reviewed publications and conference presentations. We will also engage targeted public organizations through social media channels and accessible research briefs and infographics, developed with our interdisciplinary team of researchers. We will target our dissemination to global public health organizations. We will also target technology industry companies, and community-based organizations dealing with the public response to the COVID-19 pandemic. Dissemination of our findings is intended to generate a shared understanding of the concept of digital surveillance, and to facilitate reflection and discussion on the benefits and challenges of pandemic surveillance strategies.

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DATA MANAGEMENT AND OVERSIGHT

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Two members of the research team will analyze the initial results and screen them for inclusion criteria. A third researcher will review this screening process. A team of five researchers will extract and analyze the data.

DATA STORAGE AND SECURITY

The database for the scoping review can be accessed by contacting the corresponding author.

AUTHOR CONTRIBUTIONS

LC and MN contributed to the acquisition, analysis, and interpretation of data for the work, as well as drafting and contributing to revising the work for intellectual content. LD, JH, BH, JJS, MJS, JG, SS, AK, JB, TC, JL, JMS, and DB contributed to the design of the study, interpretation of the data, and revising drafts for interdisciplinary intellectual content. MS contributed to developing the search strategy.

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COMPETING INTERESTS

None declared.

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FIGURE LEGENDS

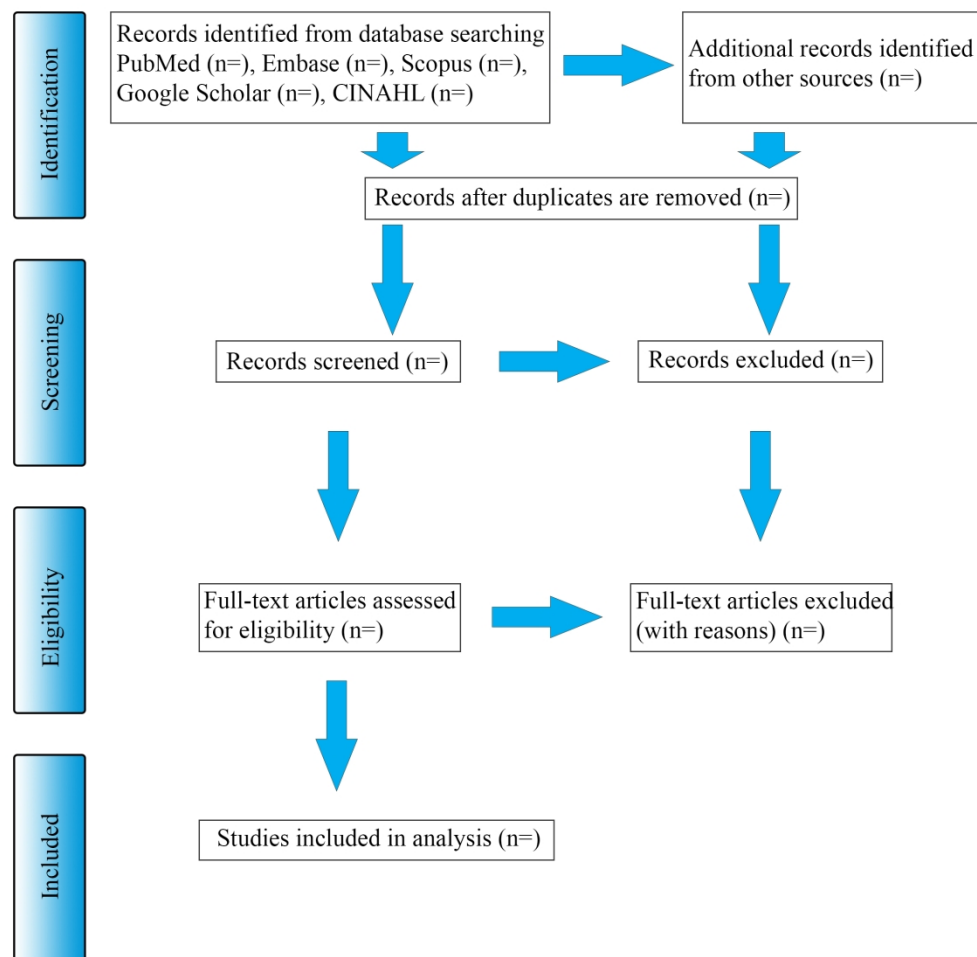Textbox 2: Search strategy and search terms developed in consultation with the research librarian.

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Figure 1: PRISMA chart detailing the study selection process

833x833mm (72 x 72 DPI)

Database	Search Strategy	Search Filter
PubMed	("Public Health"[MeSH Terms] OR "Public Health Informatics"[MeSH Terms] OR "Public Health Practice"[MeSH Terms]) AND (("Population Surveillance"[MeSH Terms] OR "Public Health Surveillance"[MeSH Terms] OR ("Epidemiological Monitoring"[MeSH Terms] OR "Sentinel Surveillance"[MeSH Terms]) OR "Biosurveillance"[MeSH Terms]) AND ("Pandemics"[MeSH Terms] OR "COVID-19"[Supplementary Concept] OR "influenza a virus, h1n1 subtype"[MeSH Terms] OR "Disease Outbreaks"[MeSH Terms] OR "Coronavirus Infections"[MeSH Terms] OR "SARS Virus"[MeSH Terms]))	Timeline: Articles published from 2000 to 2020 Upon revision of our scope to focus solely on COVID-19, timeline was revised and filtered to December 2019 to December 2020 Language: Articles published in English
Scopus	(((TITLE-ABS-KEY ("population surveillance" OR "Public health surveillance" OR surveillance)) OR (TITLE-ABS-KEY ("digital surveillance")) OR (TITLE-ABS-KEY (biosurveillance)) OR (TITLE-ABS-KEY ("epidemiological monitoring"))) AND ((TITLE-ABS-KEY (pandemic OR pandemics)) OR (TITLE-ABS-KEY ("disease outbreak" OR "disease outbreaks")) OR (TITLE-ABS-KEY ("coronavirus infections" OR "Covid-19" OR "Covid19")) OR (TITLE-ABS-KEY (h1n1)) OR (TITLE-ABS-KEY (sars OR "SARS virus")))) AND (TITLE-ABS-KEY ("Public health" OR "public health application"))	Timeline: Articles published from 2000 to 2020 Upon revision of our scope to focus solely on COVID-19, timeline was revised and filtered to December 2019 to December 2020 Language: Articles published in English

| CINAHL | S1: (MH "Population Surveillance+") OR "population surveillance" OR (MH "Disease Surveillance")

S2: "public health surveillance"

S3: "digital surveillance"

S4: (MH "Biosurveillance") OR "biosurveillance"

S5: "epidemiological monitoring"

S6: S1 OR S2 OR S3 OR S4 OR S5

S7: (MH "Disease Outbreaks") OR "pandemic" OR (MH "Influenza, Pandemic (H1N1) 2009")

S8: (MH "COVID-19") OR "covid"

S9: (MH "SARS Virus") OR "sars"

S10: (MH "Coronavirus Infections") OR "coronavirus infection"

S11: S7 OR S8 OR S9 OR S10

S12: (MH "Public Health+") OR "public health application"

S13: S6 AND S11

S14: S12 AND S13 | Timeline: Articles published from 2000 to 2020

Upon revision of our scope to focus solely on COVID-19, timeline was revised and filtered to December 2019 to December 2020

Language: Articles published in English |
| Google Scholar | (("population surveillance" OR "public health surveillance" OR surveillance OR "digital surveillance" OR Biosurveillance. OR "surveillance technology" OR "surveillance technologies" OR "epidemiological monitoring") | Timeline: Articles published from 2000 to 2020

Upon revision of our scope to focus solely on COVID-19, timeline was revised and filtered to December 2019 to December 2020 |

	AND (pandemic OR "disease outbreak" OR "coronavirus infections" OR covid19 OR "Covid-19")) AND ("Public health" OR "public Health applications")	Language: Articles published in English
PsychInfo (Ovid)	Population Surveillance/ or Public Health Surveillance/ or surveillance.tw. 2: digital surveillance.tw. 3: biosurveillance.tw. or Biosurveillance/ 4: epidemiological monitoring.tw. or Epidemiological Monitoring/ 5:1 or 2 or 3 or 4 6: exp Pandemics/ or pandemic.mp. 7: exp Disease Outbreaks/ or disease outbreak.mp. 8: Coronavirus Infections/ or covid-19.tw. 9: covid19.tw. 10: H1N1.tw. 11: SARS Virus/ or SARS.tw. 12:6 or 7 or 8 or 9 or 11 13: exp Public Health/ or public health application.mp. 14:5 and 12 15:14 and 15	Timeline: Articles published from 2000 to 2020 Upon revision of our scope to focus solely on COVID-19, timeline was revised and filtered to December 2019 to December 2020 Language: Articles published in English
Embase	Population Surveillance/ or Public Health Surveillance/ or surveillance.tw. 2: digital surveillance.tw. 3: biosurveillance.tw. or Biosurveillance/ 4: epidemiological monitoring.tw. or Epidemiological Monitoring/ 5:1 or 2 or 3 or 4 6: exp Pandemics/ or pandemic.mp. 7: exp Disease Outbreaks/ or disease outbreak.mp. 8: Coronavirus Infections/ or covid-19.tw. 9: covid19.tw. 10: H1N1.tw.	Timeline: Articles published from 2000 to 2020 Upon revision of our scope to focus solely on COVID-19, timeline was revised and filtered to December 2019 to December 2020 Language: Articles published in English

	11: SARS Virus/ or SARS.tw. 12:6 or 7 or 8 or 9 or 11 13: exp Public Health/ or public health application.mp. 14:5 and 12 15:14 and 15	
Medline (Ovid)	Population Surveillance/ or Public Health Surveillance/ or surveillance.tw. 2: digital surveillance.tw. 3: biosurveillance.tw. or Biosurveillance/ 4: epidemiological monitoring.tw. or Epidemiological Monitoring/ 5:1 or 2 or 3 or 4 6: exp Pandemics/ or pandemic.mp. 7: exp Disease Outbreaks/ or disease outbreak.mp. 8: Coronavirus Infections/ or covid-19.tw. 9: covid19.tw. 10: H1N1.tw. 11: SARS Virus/ or SARS.tw. 12:6 or 7 or 8 or 9 or 11 13: exp Public Health/ or public health application.mp. 14:5 and 12 15:14 and 15	Timeline: Articles published from 2000 to 2020 Upon revision of our scope to focus solely on COVID-19, timeline was revised and filtered to December 2019 to December 2020 Language: Articles published in English
ACM digital library	"query": {AllField:("population surveillance" OR "public health surveillance" OR "surveillance" OR "digital surveillance" OR "biosurveillance" OR "epidemiological monitoring") AND AllField:("pandemic?" OR "disease outbreak?" OR "coronavirus infection?" OR "covid\-19" OR "covid19" OR "H1N1" OR "SARS virus" OR "SARS") AND AllField:("public health" OR "Public health application")} "filter": {Publication Date: (01/01/2000 TO 12/31/2020)},{ACM Content: DL},{NOT VirtualContent: true}	Timeline: Articles published from 2000 to 2020 Upon revision of our scope to focus solely on COVID-19, timeline was revised and filtered to December 2019 to December 2020 Language: Articles published in English

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| IEEE Explore | (((("Full Text & Metadata":"population surveillance" OR "public health surveillance" OR "surveillance" OR "digital surveillance" OR "contact trac*" OR "biosurveillance" OR "epidemiological monitoring") AND "Full Text & Metadata":"pandemic?" OR "disease outbreak?" OR "coronavirus infection?" OR "covid-19" OR "covid19" OR "H1N1" OR "SARS virus" OR "SARS") AND "Full Text & Metadata":"public health" OR "Public health application") | Timeline: Articles published from 2000 to 2020

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Reporting checklist for protocol of a systematic review and meta analysis.

Based on the PRISMA-P guidelines.

Instructions to authors

Complete this checklist by entering the page numbers from your manuscript where readers will find each of the items listed below.

Your article may not currently address all the items on the checklist. Please modify your text to include the missing information. If you are certain that an item does not apply, please write "n/a" and provide a short explanation.

Upload your completed checklist as an extra file when you submit to a journal.

In your methods section, say that you used the PRISMA-Preporting guidelines, and cite them as:

Moher D, Shamseer L, Clarke M, Ghersi D, Liberati A, Petticrew M, Shekelle P, Stewart LA. Preferred Reporting Items for Systematic Review and Meta-Analysis Protocols (PRISMA-P) 2015 statement. Syst Rev. 2015;4(1):1.

		Reporting Item	Page Number
Title			
Identification	#1a	Identify the report as a protocol of a systematic review	1
Update	#1b	If the protocol is for an update of a previous systematic review, identify as such	N/A
Registration			
	#2	If registered, provide the name of the registry (such as PROSPERO) and registration number	N/A
Authors			
Contact	#3a	Provide name, institutional affiliation, e-mail address of all protocol authors; provide physical mailing address of corresponding author	1
Contribution	#3b	Describe contributions of protocol authors and identify the	1

guarantor of the review

Amendments

#4   If the protocol represents an amendment of a previously completed or published protocol, identify as such and list changes; otherwise, state plan for documenting important protocol amendments   N/A

Support

Sources   #5a   Indicate sources of financial or other support for the review   10

Sponsor   #5b   Provide name for the review funder and / or sponsor   10

Role of sponsor or funder   #5c   Describe roles of funder(s), sponsor(s), and / or institution(s), if any, in developing the protocol   10

Introduction

Rationale   #6   Describe the rationale for the review in the context of what is already known   3-4

Objectives   #7   Provide an explicit statement of the question(s) the review will address with reference to participants, interventions, comparators, and outcomes (PICO)   5

Methods

Eligibility criteria   #8   Specify the study characteristics (such as PICO, study design, setting, time frame) and report characteristics (such as years considered, language, publication status) to be used as criteria for eligibility for the review   7-8

Information sources   #9   Describe all intended information sources (such as electronic databases, contact with study authors, trial registers or other grey literature sources) with planned dates of coverage   7

Search strategy   #10   Present draft of search strategy to be used for at least one electronic database, including planned limits, such that it could be repeated   6-7

Study records - data management   #11a   Describe the mechanism(s) that will be used to manage records and data throughout the review   8

Study records -   #11b   State the process that will be used for selecting studies (such   8

selection process		as two independent reviewers) through each phase of the review (that is, screening, eligibility and inclusion in meta-analysis)	
Study records - data collection process	#11c	Describe planned method of extracting data from reports (such as piloting forms, done independently, in duplicate), any processes for obtaining and confirming data from investigators	7-9
Data items	#12	List and define all variables for which data will be sought (such as PICO items, funding sources), any pre-planned data assumptions and simplifications	9
Outcomes and prioritization	#13	List and define all outcomes for which data will be sought, including prioritization of main and additional outcomes, with rationale	10
Risk of bias in individual studies	#14	Describe anticipated methods for assessing risk of bias of individual studies, including whether this will be done at the outcome or study level, or both; state how this information will be used in data synthesis	7-9
Data synthesis	#15a	Describe criteria under which study data will be quantitatively synthesised	N/A
Data synthesis	#15b	If data are appropriate for quantitative synthesis, describe planned summary measures, methods of handling data and methods of combining data from studies, including any planned exploration of consistency (such as I2, Kendall's т)	N/A
Data synthesis	#15c	Describe any proposed additional analyses (such as sensitivity or subgroup analyses, meta-regression)	N/A
Data synthesis	#15d	If quantitative synthesis is not appropriate, describe the type of summary planned	9
Meta-bias(es)	#16	Specify any planned assessment of meta-bias(es) (such as publication bias across studies, selective reporting within studies)	N/A
Confidence in cumulative evidence	#17	Describe how the strength of the body of evidence will be assessed (such as GRADE)	N/A

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